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PATENT

July 24, 1998
TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No. 02307E-071420
UC Case No. 98-197-1

By [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Demetrios Papahadjopoulos, et al.

Serial No.: 09/076,618

Filed: 5/12/98

For: METHODS OF FORMING
PROTEIN-LINKED LIPIDIC
MICROPARTICLES, AND
COMPOSITIONS THEREOF

Examiner: unassigned

Art Unit: 1634

**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints

Hector A. Alicea, Reg. No. 40,891
Randolph T. Apple, Reg. No. 36,429
Kevin L. Bastian, Reg. No. 34,774
Guy Chambers, Reg. No. 30,617
Karen B. Dow, Reg. No. 29,684
Gregory P. Einhorn, Reg. No. 38,440
Susan K. Faris, Reg. No. P-41,739
M. Henry Heines, Reg. No. 28,219
Laurence J. Hyman, Reg. No. 35,551
Tom Hunter, Reg. No. 38,498
Jeffrey J. King, Reg. No. 38,515

Joe Liebeschuetz, Reg. No. 37,505
Jeffrey S. Mann, Reg. No. P-42,837
Annette S. Parent, Reg. No. P-42,058
Steven W. Parmelee, Reg. No. 31,990
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Margaret A. Powers, Reg. No. 39,804
Jonathan Alan Quine, Reg. No. 41,261
Timothy L. Smith, Reg. No. 35,367
William M. Smith, Reg. No. 30,223
Joseph P. Snyder, Reg. No. 39,381
John R. Storella, Reg. No. 32,944
Eugenia Garrett-Wackowski, Reg. No. 37,330
Ellen Lauver Weber, Reg. No. 32,762
Kenneth A. Weber, Reg. No. 31,667
P. Ben Wang, Reg. No. 41,420

all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on _____ at reel _____, frame _____.

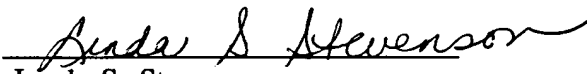
☒ is submitted herewith for recording.

Please direct all telephone calls to Laurence J. Hyman and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834

Dated: 7/17/98

ASSIGNEE: THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA

Signature: 
Typed Name: Linda S. Stevenson
Title: Principal Prosecution Analyst

Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)Applicant: Demetrios Papahadjopoulos, et al.Application No.: 09/076,618 Filed: 5/12/98For: METHODS OF FORMING PROTEIN-LINKED LIPIDIC MIROPARTICLES, AND COMPOSITIONS THEREOFThe Regents of the University of California

(Name of Assignee)

, a university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

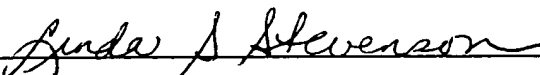
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : 7/17/98Name : Linda S. StevensonTitle : Principal Prosecution AnalystSignature : 

ASSIGNMENTU.C. Case No. 98-197-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Demetrios Papahadjopoulos 2. Keelung Hong
 3. Weiwen Zheng 4. Dmitri B. Kirpotin

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS OF FORMING PROTEIN-LINKED LIPIDIC MICROPARTICLES, AND COMPOSITIONS THEREOF

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
 (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
 (c) X _____ U.S. application serial no. 09/076,618, filed on May 12, 1998
 (d) _____ U.S. Patent No.: , issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1st day of July, 1998
1st day of July, 1998
1st day of July, 1998
1st day of July, 1998

Signature of Inventor(s)

Demetrios Papahadjopoulos
Keelung Hong
Weiwen Zheng
Dmitri B. Kirpotin

COPY

for recordation